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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kauffman

Serial No.: 09/774,829

For: CODE GENERATOR SYSTEM FOR DIGITAL LIBRARIES

Filed: January 26, 2001

Examiner: Shahid Al Alam

RECEIVED

JAN 07 2004

Customer No.: 22032

Technology Center 2100

Art Unit: 2172

Confirmation No.: 2858

Attorney Docket: STL000046US1

MAIL STOP AF
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

Dear Sir:

AMENDMENT TRANSMITTAL FORM

We are enclosing an Amendment after Final in the above-identified application.

Petition for extension of time pursuant to 37 C.F.R. §§ 1.136 and 1.137 is hereby made if, and to the extent, required. The fee for this extension of time is calculated to be \$ _____ to extend the time for filing this response until _____.

The fee for any change in number of claims has been calculated as shown below.

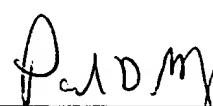
CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	
Total Claims	39	Minus	39	0	x \$18.00	\$0
Independent Claims	3	Minus	3	0	x \$84.00	\$0
MULTIPLE DEPENDENT CLAIM FEE					x \$280.00 = \$	
TOTAL FEE FOR CLAIM CHANGES					PAID	

The total fee for this amendment, including claim changes and any extension of time is calculated to be \$ _____.

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 09-0460. A duplicate copy of this form is enclosed.

January 2, 2004

Date



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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AF, COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313-1450, ON JANUARY 2, 2004.

Kenroy A. Browne
NAME


SIGNATURE

01/02/04
DATE



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W.M.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kauffman
Serial No: 09/774,829
For: CODE GENERATOR SYSTEM FOR DIGITAL LIBRARIES
Filed: 26 JAN 2001
Examiner: Shahid Al Alam
Art Unit: 2172 Docket No.: STL000046US1
Customer No. 27623
Confirmation No.: 2858

RESPONSE AFTER FINAL ACTION

RECEIVED

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

JAN 07 2004

Dear Sir:

Applicant is submitting the present document in response a Final Action dated 4 NOV 2003 (hereinafter “the Office Action”) for the above-noted application.

REMARKS

Claims 1 - 39 are pending in the application.

In section 3 of the Office Action, claims 1 – 39 are rejected under 35 U.S.C. 103(a) as being unpatentable over an article by Shah et al. entitled “Logical Information Modeling of Web-Accessible Heterogeneous Digital Assets”, (hereinafter “the Shah et al. article”)